



博大證券有限公司
Partners Capital Securities Limited

博大科技金融控股成員
MEMBER OF PARTNERS FINTECH HOLDINGS

Commissions and Charges

Version: December 2021

Type of Service	Charges
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I. Hong Kong Stocks

1. Commissions	Mode of Placing Orders	Fees
	Electronic Trading System	Individual Client: 0.06% of the transaction amount, minimum HKD 3 Corporate Client: 0.10% of the transaction amount, minimum HKD 3
	Telephone Trading	0.2% of the transaction amount, minimum HKD 100
2. Platform Fee	Type	Fees
	Platform Fee	HKD 15 per order
3. Charges on behalf of Other Parties	Type	Fees
	Trading System Tariff (Charged by HKEx)	0.50 HKD per Transaction
	Stamp Duty (Charged by HK Government)	0.13% of Transaction Amount (rounded up to the nearest dollar)(an odd lot will be rounded to one whole lot)
	Transaction Levy (Charged by SFC)	0.0027% of Transaction Amount
	Trading Fees Charged (Charged by HKEx)	0.005% of Transaction Amount
	FRC Transaction levy	0.00015% of Transaction Amount,minimum HKD 0.01
	CCASS Fee (Charged by HK Clearing)	0.002% on transaction amount,minimum HK\$2/trade, maximum HK\$100/trade

Note: One order can be divided into multiple transactions, and Other Fees are based on a 'per transaction' basis.

4、Corporate Actions	Type	Fees
	Dividend Collection Fee	0.2% of the total cash dividend amount, minimum HKD 30
	Bonus Share Collection Fee	HKD 1 per lot, minimum HKD 30
	Equity Interest Collection Fee	HKD 1 per lot, minimum HKD 30
	Nominee Service & Corporate Actions, e.g. Cash Buyback/Open Offer/ Privatization/ Tender Offer	HKD 1 per lot, minimum HKD 30 (an odd lot will be rounded to one whole lot)
	Transfer Registration Fee (charged by HKSCC) (Collected on transfer cut-off date and shareholders right execution date)	HKD 1.5 per lot
	Handling fee for Rights Offering, Warrants, CBBCs and Inline Warrants	HKD 1 per lot, minimum HKD 30 (an odd lot will be rounded to one whole lot)
	Vote by proxy at General Meeting	(50 HKD per application) Waived
	Recovery against HKSCC	0.5% HKD 200 per recovery, plus 0.5% of dividend amount Bonus shares: 1 HKD/lot (odd lot will be rounded to one whole lot)

Note: If dividend/bonus shares/equity interest is less than 30 HKD, the full amount thereof will be deducted.

5、Other Services	Type	Fees
	Stock Transfer Out (Settlement Instruction) Through CCASS	Deposit: free Withdraw: 500 HKD/Stock
	Physical Scrip	Deposit: 5 HKD per lot or per transfer deed. Withdraw: 5 HKD per lot (an odd lot will be rounded to one whole lot), minimum 30 HKD

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II. US Stocks

1. Commissions	Mode of Placing Orders	Fees
	Electronic Trading System	1 USD per share, minimum USD 1 per transaction
	Telephone Trading	Not available
2. Platform Fee	Type	Fees
	Platform Fee	0.005 USD per share, minimum USD 1 per transaction
3. Charges on behalf of Other Parties	Type	Fees
	Settlement Fee (Charged by U.S. Settlement Agency and Third Party)	USD 0.003 * number of executed stock trades, minimum USD 0.5
	SEC Fee (only charged when selling stocks)	0.0000051*trading amount, minimum USD 0.01
	Trading Activity Fee (only charged when selling stocks)	0.000119*selling amount, minimum USD 0.01
	ADR Custodian Fee Charged on behalf of Issuer	0.01-0.05 USD/share
4. US Corporate Actions	Type	Fees
	Dividend Tax (US Tax Authority)	30% of amount of dividend, subject to actual dividend tax of the stock
	General Meeting	Vote by Proxy: 100 USD

Type of Service	Charges
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III. China-Connect A Shares (Shanghai Stock Connect and Shenzhen Stock Connect Securities Transac

1. Commissions	Mode of Placing Orders	Fees
	Electronic Trading System	0.04% of the transaction amount, minimum RMB 5
	Telephone Trading	Not available
2. Platform Fee	Type	Fees
	Platform Fee	RMB 15 per order
3. Charges on behalf of Other Parties	Type	Fees
	Handling Fees (Charged by Shanghai Stock Exchange and Shenzhen Stock Exchange)	0.00487% of transaction amount
	Securities Management Fees (Charged by CSRC)	0.002% of transaction amount
	Transfer Fees (Charged by CSDC Shanghai/Shenzhen)	0.002% of transaction amount
	Transfer Fees (Charged by HKSCC)	0.002% of transaction amount
	Stamp Duty (Charged by China Tax Authority)	0.1% of transaction amount (Only Charged when selling)
	Portfolio Fees (Charged by third-party system provider)	holding stock value * 0.008% / 365(calculated by day, charged by month)

4. Stock-Connect A-Shares Corporate Actions	Type	Fees
	Dividend Collection Fee	0.5% of total cash dividend amount, minimum 30 RMB
	Bonus Share Collection Fee	1 RMB/lot (odd lot will be rounded to one lot), minimum 30 RMB
	Equity Interest Collection Fee	1 RMB/lot (odd lot will be rounded to one lot), minimum 30 RMB
	Nominee Service & Corporate Actions, e.g. Tender Offer	1 RMB/lot (odd lot will be rounded to one lot), minimum 30 RMB
	Dividend Tax (State Taxation Administration)	10% of dividend and bonuses (Deduct by SAT at the time of dividend distribution)
	Dividend Recovery	Minimum charge of 1000 RMB per order (payable regardless of whether recovery is successful or not) If recovery is successful, a 10% service charge on dividend amount plus transfer fee (if any) is applicable

Type of Service	Charges
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IV. Margin Financing and Stock Borrowing Charges

Type	Fees
Interest Rate of Margin Financing for HK Stocks	8.25% per annum
Interest Rate of Margin Financing for US Stocks	By application
Interest Rate of Margin Financing for A-Shares	By application
Interest Rate of Stock Borrowing	By application
Note: Partners Capital Securities Limited may alter any interest rate of margin financing and reserves the right of final interpretation.	

V. Other Service Charges

Type	Fees
Sending Daily Account Statement and Monthly Account Statement by Post	50 HKD per Statement, plus postal fees
Interest on Indebtedness of Account	8.25%
Transaction Fees of other Markets	By consultation

Note:

1) Partners Capital Securities Limited ("PCSL") has sole discretion in determining the interest rates. Prior notification is not available on any change in interest rates.

2) Charges will be calculated and paid in the settlement currency. Client shall deposit the amount in such settlement currency. In the event that PCSL makes a currency exchange for settlement purpose of Client transactions, PCSL has sole discretion in determining the exchange rate.

3) PCSL may alter the abovementioned charges without prior notification. PCSL reserves the right of final decision. The charges contained herein are non-exhaustive. If in doubt, please contact us by our service hotline.

4) Mainland China: 400 666 8130; Hong Kong (852) 2157 5488。